The Cardiovascular Benefits Associated with the Use of Sodium-glucose Cotransporter 2 Inhibitors – Real-world Data

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Type 2 diabetes (T2D) is associated with numerous comorbidities that significantly reduce quality of life, increase mortality and complicate treatment decisions. In a recent cardiovascular outcomes trial, Empagliflozin Cardiovascular Outcome Event Trial in Type 2 Diabetes Mellitus Patients (EMPA-REG OUTCOME), the sodium-glucose cotransporter 2 (SGLT2) inhibitor empagliflozin was shown to reduce cardiovascular (CV) mortality and heart failure in high-risk patients with T2D with a previous CV event or with established CV disease (CVD). Recently published data from the Canagliflozin Cardiovascular Assessment Study (CANVAS-PROGRAM) study suggested that the cardiovascular benefits of empagliflozin are also seen with the SGLT2-inhibitor canagliflozin, indicating a class effect of SGLT2 inhibitors. Evidence for a class effect has also been shown by meta-analyses and real-world studies, including the Comparative Effectiveness of Cardiovascular Outcomes in New Users of SGLT-2 Inhibitors (CVD-REAL) and The Health Improvement Network (THIN) databases. These findings also suggest the results of EMPA-REG OUTCOME can be applied to patients with T2D with a broader CV risk profile, including people at low risk of CVD.

Keywords

Cardiovascular outcome trials, dapagliflozin, empagliflozin, canagliflozin, real-world data, sodium-glucose cotransporter 2, type 2 diabetes

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People with type 2 diabetes mellitus (T2D) have a two- to four-fold increased risk for coronary heart disease compared to those without diabetes, as well as other vascular disorders (consisting of heart failure [HF], cardiac dysrhythmia, sudden death, hypertensive disease, pulmonary embolism, and aortic aneurysm). Heart failure is a particularly common complication of T2D and is associated with poor outcomes. The risk associated with diabetes is higher at younger ages and lower at higher ages. For instance, at the age of 60 years, a patient with T2D and cardiovascular disease (CVD) has a reduced life expectancy of 12 years compared with the general population, according to a study by the Emerging Risk Factors Collaboration (689,300 participants; 91 European cohorts), and of 2 years at age 67 years in Sweden. There is, therefore, a need for novel treatments for T2D that not only improve glycaemic control but also reduce the risk of CVD.

Historically, the aim of glucose-lowering therapy in diabetes was to reduce microvascular complications and interventional studies focused on intensive glucose reduction in T2D have only had a minor or no effect in reducing cardiovascular (CV) risk. In 1998, the UK Prospective Diabetes Study (UKPDS) found that a subgroup of obese patients randomised to metformin had a reduction in myocardial infarction (MI). Metformin has since become the standard first-line drug treatment for T2D. However, most people taking metformin ultimately require intensified treatment due to disease progression and insufficient glycaemic control. In the last two decades, numerous therapeutic options have emerged for T2D, including dipeptidyl peptidase 4 inhibitors (DPP-4i), glucagon-like peptide 1 (GLP-1) receptor agonists and sodium-glucose cotransporter 2 (SGLT2) inhibitors.

In 2008, following the withdrawal of rosiglitazone from the market because of its association with increased risk of HF and MI, the US Food and Drug Administration (FDA) mandated CV outcome trials (CVOTs) on glucose-lowering drugs (GLDs). In 2012, the European Medicines Agency (EMA) also published a guideline requiring CVOTs for new GLDs for which specific CV claims are made or that are suspected of having detrimental CV effects. As a result, two drugs – empagliflozin (an SGLT2 inhibitor) and liraglutide (a GLP-1 receptor agonist) – have a level A recommendation in the American Diabetes Association (ADA) 2018 Standards of Medical Care in Diabetes. This article discusses the findings of the two completed CVOTs on SGLT2 inhibitors to date, their applicability to real-world clinical practice, and the findings of real-world studies that included patients with T2D with a broader CV risk profile.
Cardiovascular outcome trials on sodium-glucose cotransporter 2 inhibitors

Early CVOTs of saxagliptin, alogliptin, sitagliptin and lixisenatide demonstrated the safety of GLDs but did not show superiority in CV outcomes compared with placebo. In 2015 the Empagliflozin Cardiovascular Outcome Event Trial in Type 2 Diabetes Mellitus Patients (EMPA-REG OUTCOME), showed a 38% relative risk reduction in CV death (hazard ratio [HR], 0.62; 95% confidence interval [CI], 0.49–0.77; p<0.001) and a 32% relative risk reduction in all-cause mortality (HR, 0.68; 95% CI, 0.57–0.82; p<0.001), as well as a 55% reduction in HF hospitalisations (HR, 0.65; 95% CI, 0.50–0.85; p=0.002). The effects were similar for both doses of empagliflozin, 10 mg or 25 mg once daily. These were surprising and unprecedented findings and attracted considerable attention. The EMPA-REG OUTCOME study involved 7,020 patients with T2D and established CVD who were on standard-of-care medications for both hypertension and dyslipidaemia. The size of the effect and the rapid onset of action of empagliflozin were almost certainly not due to glucose lowering, and prompted questions about its underlying cardioprotective actions, and whether the reported CV benefits were intrinsic to empagliflozin or represented a class effect common to all SGLT2 inhibitors. In addition, EMPA-REG OUTCOME involved predominantly older patients with long-standing diabetes and 99% with a prior CVD event (MI, stroke, amputation, multivessel coronary artery disease, or coronary artery bypass graft), including HF in 10% of subjects. This led to speculation about the potential CV benefits of empagliflozin in lower risk patients.

As a class, the SGLT2 inhibitors – empagliflozin, dapagliflozin and canagliflozin are currently approved by the FDA and EMA although others are in clinical development – share the same mechanism of action. They all decrease renal reabsorption of glucose and induce an increase in glycosuria (Table 1). Approximately 90% of filtered glucose is absorbed by SGLT2 with the remaining 10% absorbed by SGLT1. By inhibiting this process, SGLT2 inhibitors reduce renal glucose reabsorption, increase urinary glucose excretion and reduce serum glucose concentration. They also affect several CV risk factors, including lowering glucose and blood pressure (BP), altering fasting lipid parameters, decreasing arterial stiffness, weight and visceral adiposity, and decreasing albuminuria and serum uric acid levels (Table 1). No significant differences have been observed in glucose lowering, body weight loss, and BP reduction among the individual SGLT2 inhibitors. It has also been suggested that treatment with SGLT2 inhibitors ameliorates oxidative stress and encourages a shift in fuel metabolism from fatty acids to beta-hydroxybutyrate in the heart, as well as other organs. Other hypotheses focus on the potential haemodynamic benefits including reduced pre- and afterload, enhanced myocardial oxygen supply and potential improvements of SGLT2 inhibitors in cardiac systolic and diastolic function. An additional interesting hypothesis is that empagliflozin reduces sodium and calcium overload in the myocardial cell and increases concentration of calcium in the myocardial mitochondria and thereby reducing HF development. If the observed CV benefits are related to the glomerular/maturetetric effect and subsequent volume depletion together with reduction of systolic BP or via metabolic effects, they are likely to be common to all SGLT2 inhibitors. However, different drugs may have different specificity for SGLT2 and SGLT1, as well as different benefit/risk profiles, therefore it is impossible to draw conclusions based on the EMPA-REG OUTCOME study alone.

The Canagliflozin Cardiovascular Assessment Study (CANVAS-PROGRAM) was published in June 2017 (Table 2). The rate of the primary endpoint, a composite of death from cardiovascular causes, nonfatal MI, or nonfatal stroke, was significantly lower with canagliflozin than with placebo for both doses pooled (26.9 versus 31.5 participants per 1000 patient-years; HR 0.86; 95% CI, 0.75–0.97; p<0.001 for noninferiority; p=0.02 for superiority). While these data suggest that a class effect of SGLT2 inhibitors is likely, unlike in EMPA-REG OUTCOME the reductions in the individual end points of all-cause and cardiovascular death were not significant in CANVAS-PROGRAM, although the point-estimates were in the same direction as in the EMPA-REG OUTCOME. One possible explanation for this is that, while almost all of the EMPA-REG OUTCOME subjects had established CVD, approximately 20% of the CANVAS-PROGRAM were at increased risk for cardiovascular events and around two-thirds had no prior history of CVD and were accordingly at lower CV-risk and event rates, reducing the statistical power. However, there were some notable differences between the safety findings of the two trials, with an increased risk of amputation with canagliflozin (6.3 versus 3.4 participants per 1000 patient-years; HR 1.97; 95% CI, 1.41–2.75); amputations were primarily at the level of the toe or metatarsal. This was an unexpected finding, raising the question of whether it was a random finding or compound-specific, suggesting that important differences may exist between the SGLT2 inhibitors, and requires further investigation.

The ongoing large CV outcome trial Dapagliflozin Effect on Cardiovascular Events (DECLARE)-Thrombolysis in Myocardial Infarction (TIMI)-58 is investigating the effect of dapagliflozin on CV outcomes in a broad population of patients with either established CVD (40% have had a prior CV event) or multiple CV risk factors, with an estimated completion date of 2019 and will give further answers to the effect of SGLT2 inhibitors in a low risk T2D population and whether a class effect exists (NCT0132629).

Meta-analyses

A recent meta-analysis and systematic review included data from six regulatory submissions (n=37,525) and 57 clinical trials (n=33,385) and involved seven different SGLT2 inhibitors. The SGLT2 inhibitors were found to reduce the risk of major adverse CV events (MACE; relative risk 0.84 [95% CI 0.75–0.95]; p=0.006), CV death (relative risk 0.63 [0.51–0.77]; p<0.0001), HF (0.65 [0.50–0.85]; p=0.002), and death from any cause (relative risk 0.71 [0.61–0.83]; p<0.0001). While the efficacy results were largely driven by empagliflozin, results for other SGLT2 inhibitors were not clearly different, supporting the hypothesis that that the CV benefits of SGLT2 inhibitors are consistent across the drug class. In addition, a
<table>
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<tr>
<th>Study</th>
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<td>CVOT EMPA-REG OUTCOME20</td>
<td>Phase III clinical trial</td>
<td>n=7,020; &gt;99% had established CVD</td>
<td>Diagnosis of T2D prior to informed consent. Male or female patients on diet and exercise regimen who are drug-naive or pretreated with any background therapy. Antidiabetic therapy has to be unchanged for 12 weeks prior to randomization. HbA1c of ≥7.0% and ≤10% for patients on background therapy or HbA1c ≥7.0% and ≤9.0% for drug-naive patients. Age ≥18 years. BMI ≤45 at visit one. Signed and dated informed consent. High CV risk.</td>
<td>Uncontrolled hyperglycaemia with a glucose level &gt;240 mg/dl (&gt;13.3 mmol/L) after an overnight fast during placebo run-in and confirmed by a second measurement (not on the same day). Indication of liver disease, defined by serum levels of either ALT, aspartate aminotransferase or alkaline phosphatase above 3 x ULN as determined at screening and/or run in Planned cardiac surgery or angioplasty within 3 months. Impaired renal function, defined as GFR &lt;30 ml/min (severe renal impairment, Modification of Diet in Renal Disease formula) during screening or run in. Bariatric surgery within the past two years and other gastrointestinal surgeries that induce chronic malabsorption. Blood dyscrasias or any disorders causing haemolysis or unstable Red Blood Cell (e.g. malaria, babesiosis, haemolytic anaemia). Medical history of cancer (except for basal cell carcinoma) and/or treatment for cancer within the last 5 years. Contraindications to background therapy according to the local label: Treatment with anti-obesity drugs (e.g. sibutramine, orlistat) 3 months prior to informed consent or any other treatment at the time of screening (i.e. surgery, aggressive diet regimen, etc.) leading to unstable body weight. Current treatment with systemic steroids at time of informed consent or change in dosage of thyroid hormones within 6 weeks prior to informed consent or any other uncontrolled endocrine disorder except T2D. Pre-menopausal women (last menstruation &lt;1 year prior to informed consent) who: - are nursing or pregnant or - are of child-bearing potential and are not practicing an acceptable method of birth control, or do not plan to continue using this method throughout the study and do not agree to submit to periodic pregnancy testing during participation in the trial. Acceptable methods of birth control include tubal ligation, transdermal patch, intrauterine devices/systems, oral, implantable or injectable contraceptives, sexual abstinence, double barrier method and vasectomised partner. Alcohol or drug abuse within the 3 months prior to informed consent that would interfere with trial participation or any ongoing condition leading to a decreased compliance to study procedures or study drug intake. Any other clinical condition that would jeopardize patients’ safety while participating in this clinical trial. Acute coronary syndrome, stroke or transient ischaemic attack within 2 months prior to informed consent.</td>
<td>14% reduction composite of death from CV causes, nonfatal MI, or nonfatal stroke for canagliflozin versus placebo. 38% risk reduction in CV death, 35% reduction in heart failure hospitalisation and 32% risk reduction in all-cause mortality.</td>
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<tr>
<td>CANVAS-PROGRAM36</td>
<td>Phase III clinical trial</td>
<td>n=10,142; 65.6% had a history of CVD</td>
<td>Patients must have a diagnosis of T2D and ≥30 years old with a history of CV event, or ≥50 years old with high risk of CV events. Patients must have inadequate diabetes control (as defined by glycosylated haemoglobin greater than or equal to 7.0% to less than or equal to 10.5% at screening) and be either not currently on diabetes drug therapy or on therapy with any approved class of diabetes drugs.</td>
<td>A history of diabetic ketoacidosis (T1D), pancreas or beta-cell transplantation, or diabetes secondary to pancreatitis or pancreatectomy. History of one or more severe hyperglycaemic (i.e. very low blood sugar) episode within 6 months before screening.</td>
<td>14% relative risk reduction in composite of death from CV causes, nonfatal MI, or nonfatal stroke for canagliflozin versus placebo.</td>
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Real-world evidence

As analytical tools continue to grow in power and sophistication, real-world evidence is an important component of pharmaceutical product development and is increasingly used to support regulatory decision-making. Real-world studies are considered statistically less rigorous than randomised controls and may have inadvertent biases. Two important forms of bias in this respect that may lead to overestimation of differences between two treatment strategies are the so-called immortal time bias and the time-lag bias. Furthermore, there can be differences between studies in clinical practice and the quality and detail of the data, making studies hard to interpret. Despite these limitations, however, real-world data have the potential to improve clinical outcomes by increasing the understanding of how drugs perform within specific subgroups often excluded in clinical trials (age, gender, differences in disease severity, comorbidities, differences in therapeutic adherence). Real-world studies are useful for assessing long-term response and safety, as well as for generating hypotheses to guide future clinical directions.
Four recent real-world studies have added to the body of evidence in support of the hypothesis that SGLT2 inhibitors exert their CV benefits via a class effect (Table 2). The Comparative Effectiveness of Cardiovascular Outcomes in New Users of SGLT-2 Inhibitors (CVD-REAL) study is the first large real-world study of patients with T2D. It collected real-world data from six countries and more than 300,000 patients, 87% of whom did not have a history of CVD. Inclusion criteria were new users receiving a SGLT2 inhibitor or other GLDs with established T2D or prior to the index date, age ≥18 years and availability of ≥1 year (6 months in Germany) historical data prior to the index date. The study period and inclusion of patients was 2012–2015, thus in large part before the publication of the EMPA-REG OUTCOME study and not influenced by the results or inclusion criteria in that study. Patients in the SGLT2 inhibitor and GLD groups were matched by propensity score analysis. In the primary analysis, patients prescribed SGLT2 inhibitors had a 39% lower associated risk for HF hospitalisation compared with other GLDs (HR 0.61, p<0.001). For all analyses (multivariable adjusted, intention to treat, stepwise removal of thiazolidinedione, insulin and sulfonylurea from the control group), SGLT2 inhibitors showed lower incidences of HF hospitalisations than other GLDs (p<0.001). In addition, patients in the SGLT2 inhibitor group had lower associated risk of all-cause death compared with other GLDs (HR 0.49, p<0.001). One limitation of the CVD-REAL study was that other CV events such as MI and stroke were not examined, however HF is the most common CV comorbidity in T2D. Importantly, there was no significant heterogeneity in findings across countries, despite geographic variations in the use of SGLT2 inhibitors (76% canagliflozin in the US and ~92% dapagliflozin in Europe, with empagliflozin accounting for <7% of total exposure time; Figure 1). Furthermore, there is no information of the dose distribution of the patients treated with canagliflozin and empagliflozin. These findings suggest that the observed CV benefits are likely to be class related. The benefits in terms of HF hospitalisation and all-cause mortality were strikingly similar to those reported in EMPA-REG OUTCOME (where both doses of empagliflozin had very similar effects), suggesting that the benefits translate to real-world clinical practice. In addition, the study involved a broad population of patients with T2D, the majority (87%) of whom did not have known CVD, suggesting that the benefits of SGLT2 inhibitors might extend to those with a lower risk of CVD. The CVD-REAL study thus suggests that the beneficial effects of SGLT2 inhibitors may be extended to broader and lower risk group of patients with T2D than previously evaluated in clinical trials.

The CVD-REAL Nordic study identified all patients with T2D who were prescribed GLDs between 2012 and 2015 from the Prescribed Drug Registries and linked them with the National Patient Registry and Cause of Death Registry in Denmark, Norway and Sweden (n=91,320). This represented a broad T2D population and included 25% with prior registered established CVD. New use of SGLT2 inhibitors was associated with lower risk of MACE compared to new use of another GLD, and in a similar magnitude as in the EMPA-REG OUTCOME and CANVAS-PROGRAM.

Recently the CVD-REAL Nordic study group presented data showing that, compared with new use of DPP-4i, new use of dapagliflozin was associated with lower risk for HF hospitalisation (HR 0.62, 95% CI, 0.50–0.77 p<0.001); MACE (HR 0.79, 95% CI, 0.67–0.94; p=0.006); and all-cause mortality (HR 0.99, 95% CI, 0.49–0.72 p=0.004). Again, these findings were similar to those in EMPA-REG OUTCOME but in contrast to a placebo as a comparator, the comparator was single group of compounds with CV-safe data – the DPP-4i.

Another study investigated all-cause mortality in patients with T2D taking dapagliflozin from The Health improvement Network (THIN) database. This was a UK population-based, retrospective open cohort study (n=22,124) that compared outcomes in patients with T2D taking dapagliflozin with matched controls with T2D who were taking other GLDs. The study included any patient administered dapagliflozin at any time point between 1 January 2013 and 1 September 2015. Inclusion criteria were: age ≥18 years at the index date, a diagnosis of T2D, having being registered at their practice at a year prior to treatment initiation and to remain at the practice for at least 3 months.
after treatment initiation. The mean age of participants was 58.4 years and the mean duration of diabetes was approximately 9 years. Approximately 20% of the study population (n=4,350) had a previous CV event (ischaemic heart disease, stroke and/or HF).

Data from THIN over a median observation period of 1 year showed that all-cause mortality was significantly lower in patients taking dapagliflozin than those taking other GLDs (adjusted incidence rate ratio [IRR] 0.50, 95% CI: 0.33–0.75, p=0.001), similar to the mortality reductions reported in EMPA-REG OUTCOME.49 The risk of all-cause mortality was also lower in patients taking dapagliflozin in the low CV risk population, (IRR 0.44, 95% CI: 0.25–0.78, p=0.002). The low risk population was defined as the absence of all CV outcomes (MI and ischaemic heart disease, stroke and transient ischaemic attack [TIA] and HF) at baseline. Although showing a similar numerical trend, no significant difference in the risk of CV events was detected between low risk patients who received dapagliflozin and matched controls receiving GLDs. This observational study therefore suggested that the CV benefits of empagliflozin in the EMPA-REG OUTCOME study are seen with dapagliflozin in both high as well as low risk patients.

A report of a Swedish registry study that investigated the association of oral GLDs, compared with that of insulin, with the risk of all-cause mortality, CVD and severe hypoglycaemia has also been published recently.50 The study included all patients with T2D who were new users of either DPP-4i or SGLT2 inhibitors (only dapagliflozin was available in Sweden during the study period) during 2013–2014. Of 37,603 participants, 21,758 were matched 1:1 to DPP-4i/dapagliflozin versus insulin groups, with median follow-up times of 1.51 years and 1.53 years respectively. The DPP-4i/dapagliflozin group was associated with a reduced risk of all-cause mortality, CVD and hypoglycaemia compared to the insulin group (HR [95% CI] 0.56 [0.49–0.64], 0.85 [0.73–0.99] and 0.26 [0.12–0.57] respectively. In separate analyses for the two drug classes, dapagliflozin was associated with lower risks of both all-cause mortality and CVD (HR 0.44 [0.28–0.70] and 0.51 [0.30–0.86]) respectively, while DPP-4i use was associated with lower risk of all-cause mortality (HR 0.59 [0.51–0.67], but not with CVD (HR 0.87 [0.75–1.01]).50

Finally, another recently published retrospective cohort study in the US (118,018 new users of SGLT2 inhibitors, including 73,024 taking canagliflozin) found no evidence of increased risk of below-knee lower extremity amputation for new users of canagliflozin compared with non-SGLT2 inhibitor antihyperglycaemic agents in a broad population of patients with T2D.51

**Clinical implications of the totality of evidence**

The findings of EMPA-REG OUTCOME are potentially paradigm changing, for the first time showing a reduction in CV events and mortality in patients with T2D with established CVD and have already influenced diabetes and cardiovascular guidelines.52–54 The recent CANVAS-PROGRAM data suggest that the cardiovascular benefits observed in EMPA-REG OUTCOME is likely to be a class effect, and its broader inclusion criteria suggests that the CV benefits of SGLT2 inhibitors may extend to lower risk patients. Real-world studies have further extended the inclusion criteria of the EMPA-REG OUTCOME and CANVAS-PROGRAM. These data suggest that the CV benefits of SGLT2 inhibitors may also be applicable to the general diabetic population, not only those with established CVD, a finding that should be confirmed in a clinical trial setting. The CV-REAL data were consistent across countries although there was considerable heterogeneity of use of specific SGLT2 inhibitors, adding to the body of evidence in favour of a class effect. Of note, the results of CVD-REAL were unchanged after the sequential removal of several GLD classes from the comparator group, suggesting that the findings reflect the beneficial effects of SGLT2 inhibitors rather than adverse effects of comparator GLDs.55 A major limitation so far with real life data is the limited information on safety data and future real life analyses should also include such information. However, data from the DECLARE study is needed before any firm conclusions about a class effect can be made.

**Summary and concluding remarks**

Reduction of cardiovascular complications has become an important treatment goal in T2D and for the first time there are licensed GLDs that also reduce CV event and mortality.52,53,55,56 While it must be emphasized that only empagliflozin is currently recommended by the ADA for CV risk reduction, the totality of evidence from EMPA-REG OUTCOME, CANVAS-PROGRAM and real-world studies suggest that the CV benefits observed with empagliflozin in the EMPA-REG OUTCOME study may be a class effect. There are some signals on increased risk of amputations with canagliflozin in the CANVAS-PROGRAM study that should be considered in high risk patients. However, in the absence of data from the ongoing prospective DECLARE study, it is not possible to conclude that a class effect exists. Individualisation remains the cornerstone for selection of therapy for hyperglycaemia in T2D.

Results from the CVD-REAL and THIN databases have demonstrated that data derived from rigorous, large international epidemiologic studies are a valuable addition to those generated by clinical trials, as they suggest effectiveness of treatments outside the limitation of the inclusion and exclusion criteria in randomised controlled trials and in a broader patient population, that is more representative of those seen in primary care. It is important to note that retrospective studies have inherent limitations, possibility of selection bias and residual confounding and relatively short follow-up. Moreover, SGLT2 inhibitors have been used in real-world practice for a relatively limited time and long-term follow up will be needed to determine whether the observed CV benefits are sustained. There is also need for further studies examining the mechanisms underlying the CV benefits of SGLT2 inhibitors although CV-mortality-preventive and CV-safe treatment should not be withheld awaiting such explanatory findings.
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